

$\varphi \in S_\delta(A) \ [\varphi \in S_\Delta(A)] \ (\Delta \text{ possibly infinite})$

$\bullet CB_\delta(\varphi) = CB \text{ in } S_\delta(A) \quad (CB\text{-delta rank, } CB\text{-local rank})$

$\bullet \varphi \in L_\delta(A) \rightsquigarrow CB_{\delta,A}(\varphi) = CB(S_\delta(A) \cap [\varphi])$

$\bullet CB_\delta \rightsquigarrow R_\delta: L(\mathcal{M}) \longrightarrow \{-1\} \cup Ord \cup \{\infty\}$   
local  $\delta$ -rank  
local  $\Delta$ -rank

The smallest function s.t.  $\forall \alpha \in Ord \cup \{-1\}$

$R_\delta(\varphi) \geq \alpha + 1 \iff \forall n < \omega \exists \psi_1, \dots, \psi_n \bigwedge_i R_\delta(\varphi \wedge \psi_i) \geq \alpha$   
 $\delta$ -formulas  
pairwise contr.

[Alternatively]

$R_\delta(\varphi) = CB_{\delta, \mathcal{M}}(\{p \in S_\delta(\mathcal{M}) : p \cup \{\varphi\} \text{ consistent}\})$

$R_\delta(p) = \min \{R_\delta(\varphi) : p \vdash \varphi\}$

[Alternatively]

$R_\delta(p) = CB_{\delta, \mathcal{M}}(\{q(x) \in S_\delta(\mathcal{M}) : p \cup q \text{ consistent}\})$

Remark (a)  $R_{\delta(x,y)}: L_x(\mathcal{M}) \xrightarrow{\text{onto}} (\{-1\} \cup \text{initial segment of ordinals} \cup \{\infty\})$   
proper  
possibly

(b)  $R_\delta(\varphi) \geq \alpha + 1 \iff \exists \psi_1, \psi_2, \dots \bigwedge_i R(\varphi \wedge \psi_i) \geq \alpha$   
pairwise contr.  
 $\delta$ -formulas

(c)  $R_\delta(\varphi \vee \psi) = \max \{R_\delta(\varphi), R_\delta(\psi)\}$

(d)  $p(x): a \text{ type } / A \Rightarrow \exists q(x): a \text{ complete type } / A \quad R_\delta(p) = R_\delta(q)$   
 $p \subseteq q$

Example

$R_\delta = R_{\{\delta, \neg\delta\}} \xrightarrow{\Delta} R_{\delta'}$   
Shelah trick (for some  $\delta'$ )

$\rightsquigarrow$  We assume that " $\delta$  is closed under negation":  
 i.e.  $\forall \bar{a} \exists \bar{b} \models \delta(x, \bar{a}) \iff \neg \delta(x, \bar{b})$

(1)  $R_\delta(\varphi(x, \bar{a})) \geq k+1 \iff \forall m < \omega \exists \psi_1, \dots, \psi_m \bigwedge_i R_\delta(\varphi(x, \bar{a}) \wedge \psi_i) \geq k$   
 $\delta$ -formulas  
pairwise contr.

$$\Leftrightarrow \forall n \exists p_1, \dots, p_n \in S_\delta(\mathcal{M}) \wedge R_\delta(p_i \cup \{\varphi\}) \geq k$$

distinct

$$\left[ \begin{array}{l} p_i \neq p_j \text{ for } i \neq j \\ \exists c_{ij} \delta(x, c_{ij}) \wedge \neg \delta(x, c_{ji}) \end{array} \right]$$

only a def. of  $\psi_i$

enough to have this in the condition

$$\Leftrightarrow \forall m \exists \langle c_{ij} : 1 \leq i \neq j \leq n \rangle \left\{ \bigwedge_{\substack{i, j \\ i \neq j}} \delta(x, c_{ij}) \wedge \neg \delta(x, c_{ji}) \in p_i \right\} \text{ and } R_\delta(\varphi(x, \bar{a}) \wedge \psi_i(x, \bar{c})) \geq k$$

$\psi_i(x, \bar{c})$ : a  $\delta$ -formula

[Notice:  $\psi_i(x, \bar{c})$ ,  $i=1, \dots, m$  are explicitly pairwise  $\perp$ ]

Lemma For every  $\varphi(x, \bar{y})$ ,  $k < \omega$  there is a type  $\Phi_{\varphi, k}(\bar{y})$  s.t.  
 $\forall \bar{a} \in \mathcal{M} R_\delta(\varphi(x, \bar{a})) \geq k \Leftrightarrow \models \Phi_{\varphi, k}(\bar{a})$

PP Induction on  $k$ .

$k=0$   $R_\delta(\varphi(x, \bar{a})) \geq 0 \Leftrightarrow \models \exists x \varphi(x, \bar{a})$  so  $\Phi_{\varphi, 0}(\bar{y}) = \{ \exists x \varphi(x, \bar{y}) \}$

$k \rightarrow k+1$   $R_\delta(\varphi(x, \bar{a})) \geq k+1 \Leftrightarrow \models \exists \bar{c} \bigwedge_{1 \leq i \leq n} \Phi_{\varphi, \psi_i, k}(\bar{a}, \bar{c})$   
 $\models \Psi_n(\bar{a})$  for a type  $\Psi_n(\bar{y})$

$$\Phi_{\varphi, k+1}(\bar{y}) = \bigcup_n \Psi_n(\bar{y})$$

□

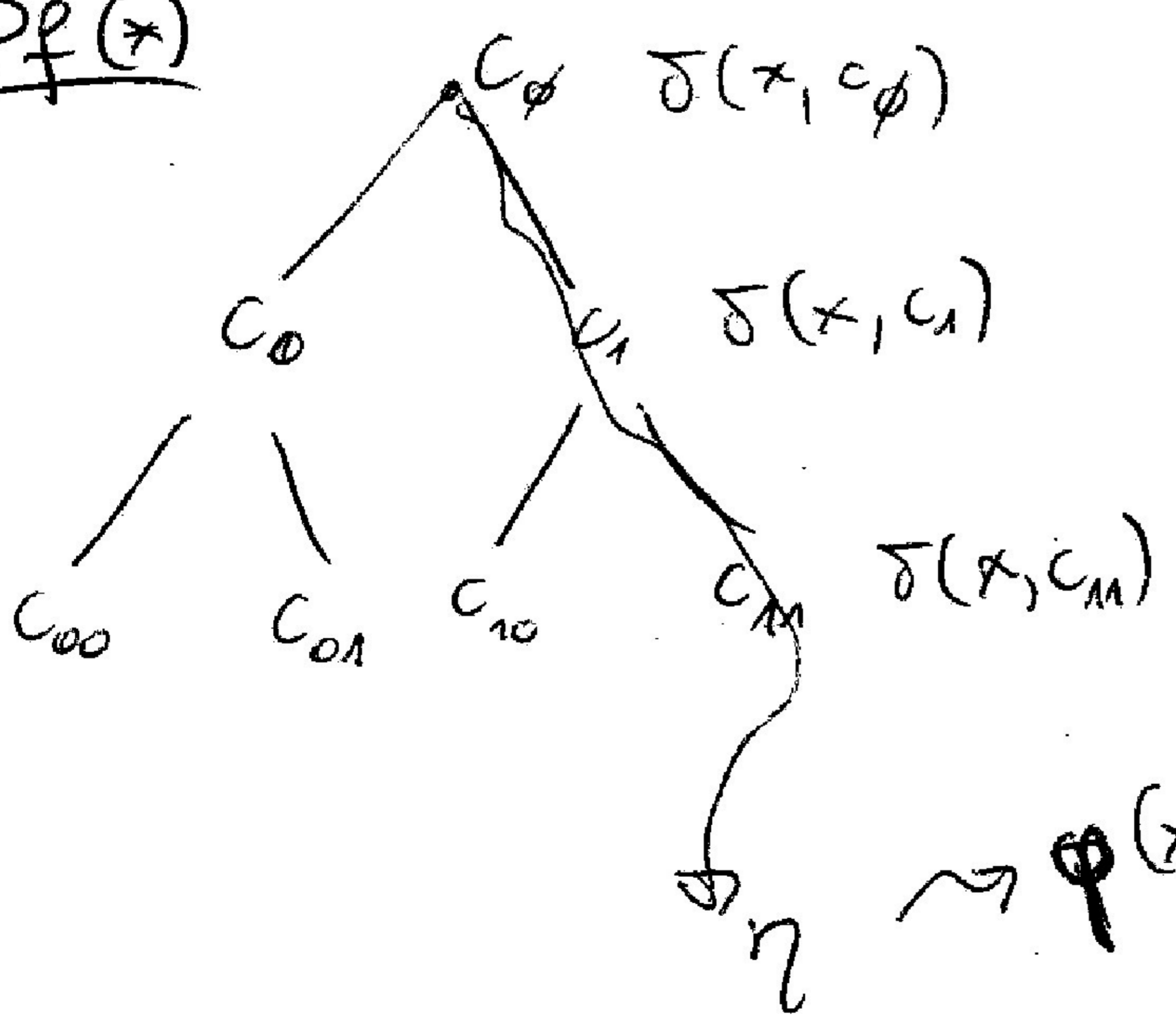
Corollary (1)  $R_\delta(\varphi) \geq \omega \Leftrightarrow R_\delta(\varphi) = \infty$

(2)  $\delta$  is stable  $\Leftrightarrow R_\delta(x=x) < \omega$

here  $\begin{cases} \chi^0 = x \\ \chi^1 = \neg x \end{cases}$

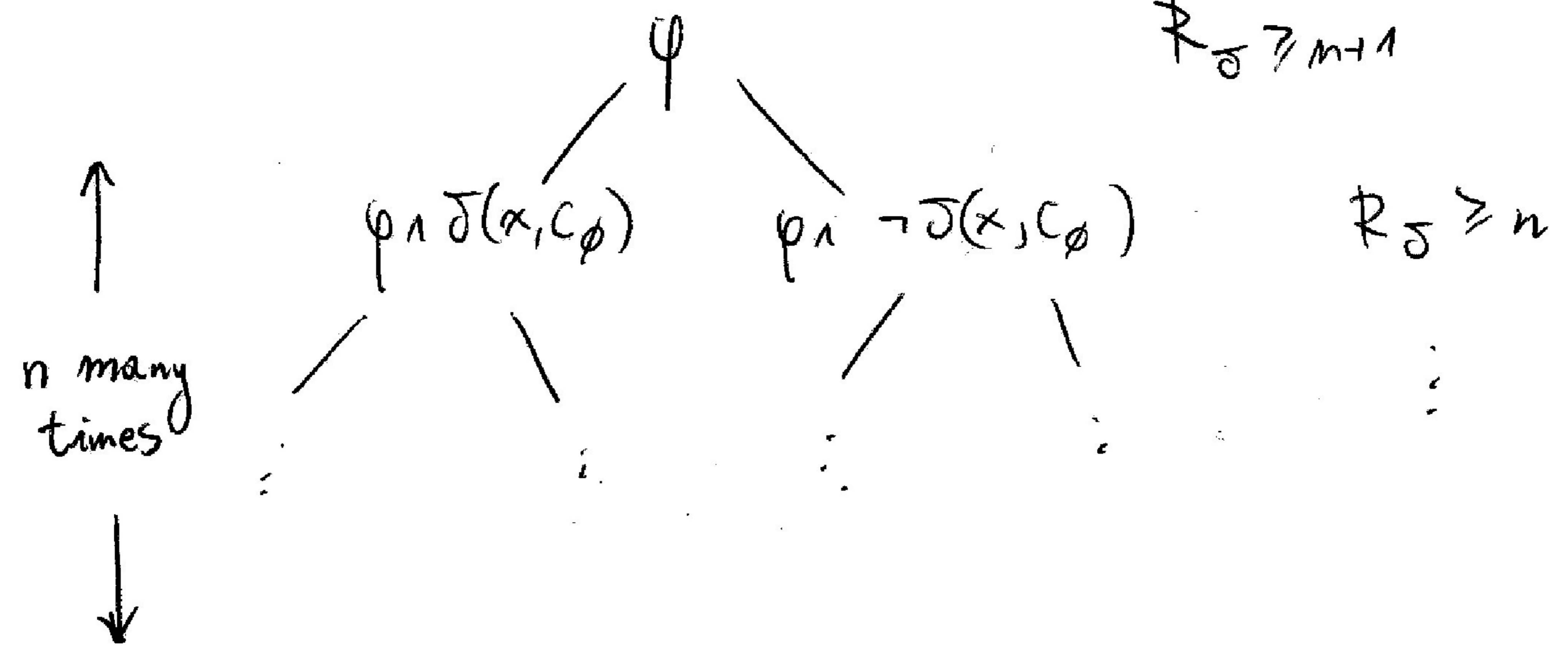
Proof (1)  $\Rightarrow$  (\*):  $\exists \langle c_\eta, \eta \in 2^{<\omega} \rangle \forall \eta \in 2^{<\omega} p_\eta(x) = \{ \varphi \} \cup \{ \delta(x, c_\eta) : \eta \in 2^{<n} \}$  is consistent

PP (\*)



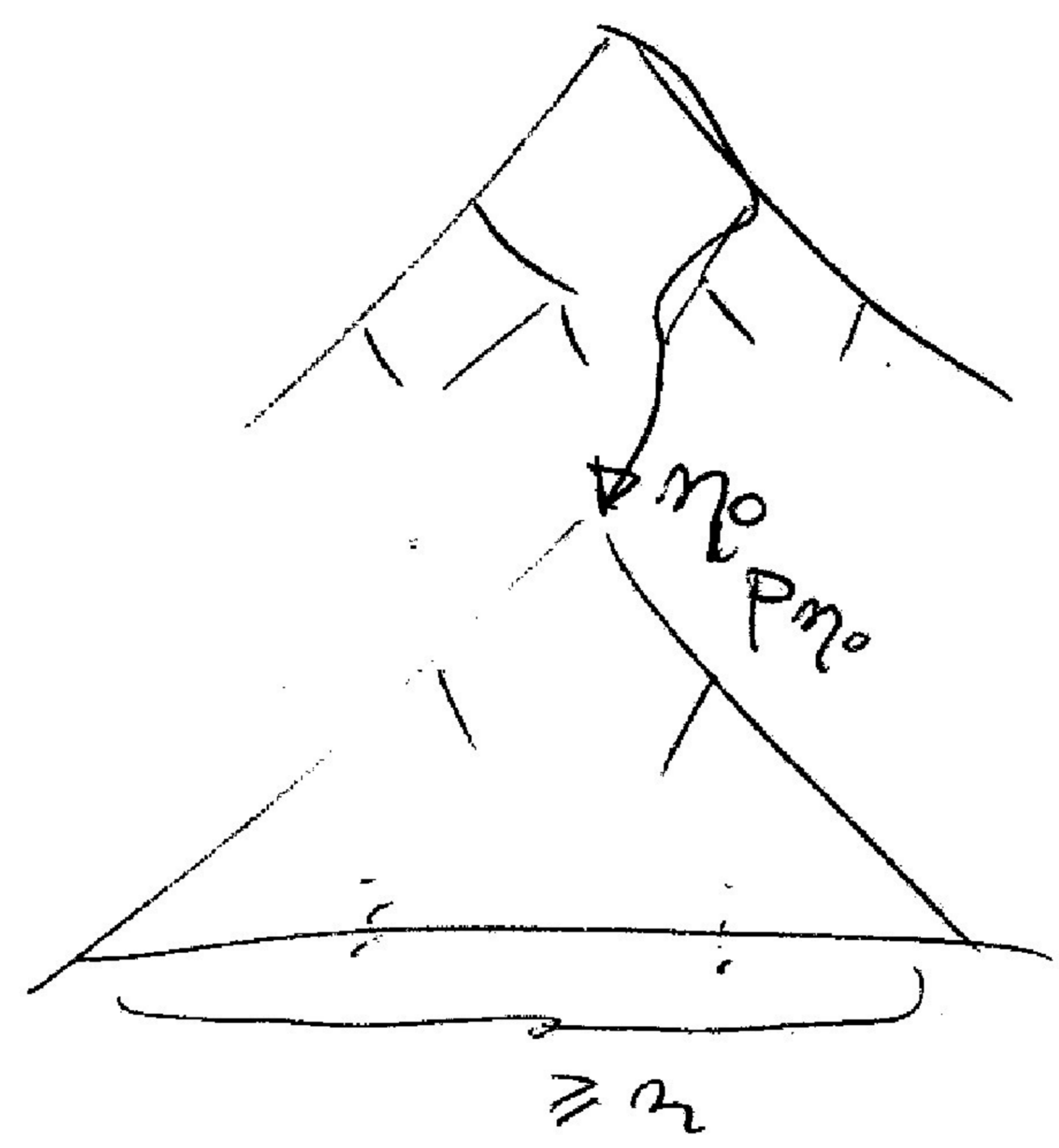
goal

Enough to show  $\forall m \exists c_\eta, \eta \in 2^{<n} \forall \eta \in 2^m p_\eta(x)$  consistent. But this follows from  $R_\delta(\varphi) \geq m+1$



(\*) □

Proof of  $\Rightarrow$  in (1): (A.c.) suppose  $R_\delta(\varphi) < \infty$ . For  $\eta \in 2^{<\omega}$   $R_\delta(p_\eta(x)) < \infty$ .  
 Let  $\eta_0 \in 2^{<\omega}$  s.t.  $\alpha := R_\delta(p_{\eta_0})$  is minimal.



So  $\forall m \exists \varphi_1, \dots, \varphi_m$   $\wedge_i R_\delta(p_{\eta_0} \wedge \varphi_i) \geq \alpha$   
 $\delta$ -formulas pairwise  $\downarrow$

PF (2) ~~unstable  $\Leftrightarrow R_\delta(\Rightarrow)$~~  (A.c.)  $R_\delta(x \neq x) \geq \omega \stackrel{(1)}{\Rightarrow} R_\delta(x=x) = \infty$   
 the proof of (1) get a tree of instances of  $\delta \Rightarrow |S_\delta(\{c_\eta : \eta \in 2^{<\omega}\})| = 2^{\aleph_0}$   
 $\Rightarrow \delta$  unstable

( $\Leftarrow$ )  $R_\delta(x=x) < \omega$ ,  $A$ : a set,  $|A| \leq \kappa$ , shall prove  $|S_\delta(A)| \leq \kappa$

(a)  $p \in S_\delta(A) \rightsquigarrow \exists \varphi \in \mathcal{P} R_\delta(p) = R_\delta(\varphi) \leq \kappa < \omega$  (for some)

There are  $\leq \kappa$ -many such  $\varphi \in \mathcal{L}(A)$

(b) For  $\varphi \in \mathcal{L}_\delta(A)$   $| \{ p \in S_\delta(A) : \varphi \in \mathcal{P} \text{ and } R_\delta(p) = R_\delta(\varphi) \} | < \aleph_0$

□

Corollary  $R_{\delta}(p) \geq k+1 \Leftrightarrow \forall m \exists \varphi_1, \dots, \varphi_m R_{\delta}(p \cup \{\varphi_i\}) \geq k$   
any type  $\delta$ -formulas pairwise  $\perp$

BINARY RANKS:  $R_{\delta,2}$

$$R_{\delta,2}(\varphi) \geq \alpha+1 \Leftrightarrow \exists c [R_{\delta,2}(\varphi \wedge \delta(x,c)) \geq \alpha \text{ and } R_{\delta,2}(\varphi \wedge \neg \delta(x,c)) \geq \alpha]$$

Lemma (1)  $R_{\delta,2}(\varphi(x,\bar{a})) \geq k \Leftrightarrow \models \Theta_{\varphi,k}(\bar{a})$  for a formula  $\Theta_{\varphi,k}(\bar{y})$

(2)  $R_{\delta,2}(\varphi(x,\bar{a})) = k \Leftrightarrow \models \Theta_{\varphi,k}(\bar{a}) \wedge \neg \Theta_{\varphi,k+1}(\bar{a})$

(3)  $R_{\delta,2}(\varphi) \geq \omega \Leftrightarrow R_{\delta,2}(\varphi) = \infty$

(4)  $R_{\delta,2}(x=x) < \infty \Leftrightarrow \delta$  is stable

Comments (a) Usually  $R_{\delta,2}(\varphi \vee \psi) = \max\{R_{\delta,2}(\varphi), R_{\delta,2}(\psi)\}$ .

(b) So usually it is not true that  $\forall p \forall A \exists q \in S(A) R_{\delta,2}(p) = R_{\delta,2}(q)$

Definability again

Lemma (Sketch) Let  $\delta(x,y)$ : stable,  $p(x) \in S_{\delta}(A)$ . Then

$$\exists \chi \in L(A) \forall \bar{a} \in A \models \chi(\bar{a}) \Leftrightarrow \delta(x,\bar{a}) \in p(x)$$

a  $\delta$ -definition

Proof  $R_{\delta,2}(p) = k < \omega$ . Choose  $\psi \in p$  with  $R_{\delta,2}(\psi) = k$ . Then for  $\bar{a} \in A$

$$\delta(x,\bar{a}) \in p \Leftrightarrow R_{\delta,2}(\psi \wedge \delta(x,\bar{a})) \geq k \Leftrightarrow \underbrace{\Theta_{\psi \wedge \delta,k}(\bar{a})}_{\chi(\bar{a})}$$

Corollary  $[\delta: \text{stable}, a \in \mathcal{M}] \delta(a,A) = \{b \in A : \models \delta(a,b)\} = \{b \in A : \models \chi(b)\}$   
 for some  $\chi(y) \in L(A)$

Proof  $p = \text{tp}_{\delta}(a/A) \rightsquigarrow \chi$  from the lemma

