

$\varphi \in S_\delta(A) [\varphi \in S_\Delta(A)]$ (Δ possibly infinite)

• $CB_\delta(\varphi) = CB$ in $S_\delta(A)$ (CB-delta rank, CB-local rank)

• $\varphi \in L_\delta(A) \rightsquigarrow CB_{\delta,A}(\varphi) = CB(S_\delta(A) \cap [\varphi])$

• $CB_\delta \rightsquigarrow R_\delta: L(\mathcal{M}) \longrightarrow \{ \neg 1 \} \cup \text{Ord} \cup \{ \infty \}$
local δ -rank
local Δ -rank

The smallest function s.t. $\forall \alpha \in \text{Ord} \cup \{ \neg 1 \}$

$$R_\delta(\varphi) \geq \alpha + 1 \iff \forall n < \omega \exists \psi_1, \dots, \psi_n \bigwedge_i R_\delta(\varphi \wedge \psi_i) \geq \alpha$$

δ -formulas
pairwise contr.

[Alternatively]

$$R_\delta(\varphi) = CB_{\delta, \mathcal{M}}(\{ p \in S_\delta(\mathcal{M}) : p \cup \{ \varphi \} \text{ consistent} \})$$

$$R_\delta(p) = \min \{ R_\delta(\varphi) : p \vdash \varphi \}$$

[Alternatively]

$$R_\delta(p) = CB_{\delta, \mathcal{M}}(\{ q(x) \in S_\delta(\mathcal{M}) : p \cup q \text{ consistent} \})$$

Remark (a) $R_{\delta(x,y)}: L_x(\mathcal{M}) \xrightarrow{\text{onto}} (\{ \neg 1 \} \cup \text{initial segment of ordinals} \cup \{ \infty \})$
proper
possibly

$$(b) R_\delta(\varphi) \geq \alpha + 1 \iff \exists \psi_1, \psi_2, \dots \bigwedge_i R_\delta(\varphi \wedge \psi_i) \geq \alpha$$

pairwise contr.
 δ -formulas

$$(c) R_\delta(\varphi \vee \psi) = \max \{ R_\delta(\varphi), R_\delta(\psi) \}$$

$$(d) p(x): \text{a type / } A \Rightarrow \exists q(x): \text{a complete type / } A \text{ with } p \subseteq q \quad R_\delta(p) = R_\delta(q)$$

Example

$$R_\delta = R_{\{ \delta, \neg \delta \}} \stackrel{\Delta}{=} R_{\delta'} \quad \uparrow \text{ Shelah's trick (for some } \delta')$$

\rightsquigarrow We assume that " δ is closed under negation":

$$\text{i.e. } \forall \bar{a} \exists \bar{b} \models \delta(x, \bar{a}) \iff \neg \delta(x, \bar{b})$$

$$(1) R_\delta(\varphi(x, \bar{a})) \geq k + 1 \iff \forall m < \omega \exists \psi_1, \dots, \psi_m \bigwedge_i R_\delta(\varphi(x, \bar{a}) \wedge \psi_i) \geq k$$

δ -formulas
pairwise contr.

$$\Leftrightarrow \forall n \exists p_1, \dots, p_n \in S_\delta(\mathcal{M}) \bigwedge_i R_\delta(p_i \cup \{\varphi\}) \geq k$$

distinct

$$\left[\begin{array}{l} p_i \neq p_j \text{ for } i \neq j \\ \exists c_{ij} \delta(x, c_{ij}) \wedge \neg \delta(x, c_{ji}) \end{array} \right]$$

only a def. of ψ_i

enough to have this in the condition

$$\Leftrightarrow \forall m \exists \langle c_{ij} : 1 \leq i \neq j \leq n \rangle \bigwedge_{\substack{i \\ j \neq i}} \delta(x, c_{ij}) \wedge \neg \delta(x, c_{ji}) \in p_i \text{ and } R_\delta(\varphi(x, \bar{a}) \wedge \psi_i(x, \bar{c})) \geq k$$

$\psi_i(x, \bar{c})$: a δ -formula

[Notice: $\psi_i(x, \bar{c})$, $i=1, \dots, m$ are explicitly pairwise \perp]

Lemma For every $\varphi(x, \bar{y})$, $k < \omega$ there is a type $\Phi_{\varphi, k}(\bar{y})$ s.t.
 $\forall \bar{a} \in \mathcal{M} R_\delta(\varphi(x, \bar{a})) \geq k \Leftrightarrow \models \Phi_{\varphi, k}(\bar{a})$

PP Induction on k .

$k=0$ $R_\delta(\varphi(x, \bar{a})) \geq 0 \Leftrightarrow \models \exists x \varphi(x, \bar{a})$ so $\Phi_{\varphi, 0}(\bar{y}) = \{ \exists x \varphi(x, \bar{y}) \}$

$k \rightarrow k+1$ $R_\delta(\varphi(x, \bar{a})) \geq k+1 \Leftrightarrow \forall m \exists \bar{c} \bigwedge_{1 \leq i \leq m} \Phi_{\varphi, \psi_i, k}(\bar{a}, \bar{c})$
 $\models \Psi_n(\bar{a})$ for a type $\Psi_n(\bar{y})$

$$\Phi_{\varphi, k+1}(\bar{y}) = \bigcup_n \Psi_n(\bar{y})$$

□

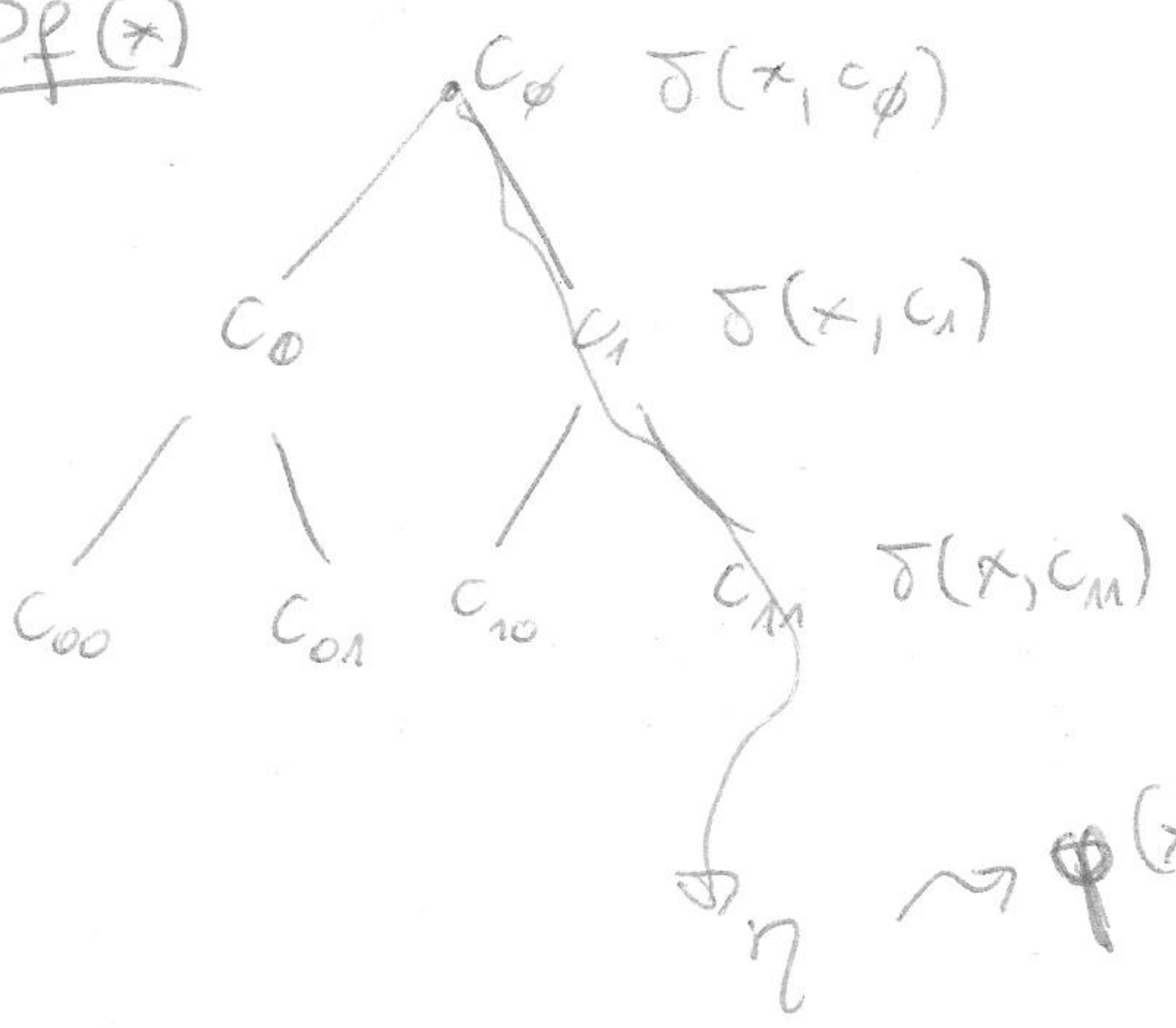
Corollary (1) $R_\delta(\varphi) \geq \omega \Leftrightarrow R_\delta(\varphi) = \infty$

(2) δ is stable $\Leftrightarrow R_\delta(x=x) < \omega$

here $\begin{cases} \chi^0 = x \\ \chi^1 = \neg x \end{cases}$

Proof (1) \Rightarrow (*) : $\exists (c_\eta, \eta \in 2^{<\omega}) \forall \eta \in 2^{\#\omega} p_\eta(x) = \{ \varphi \} \cup \{ \delta(x, c_\eta) \}^{2^{<\omega}}$: $n < \omega$ is consistent

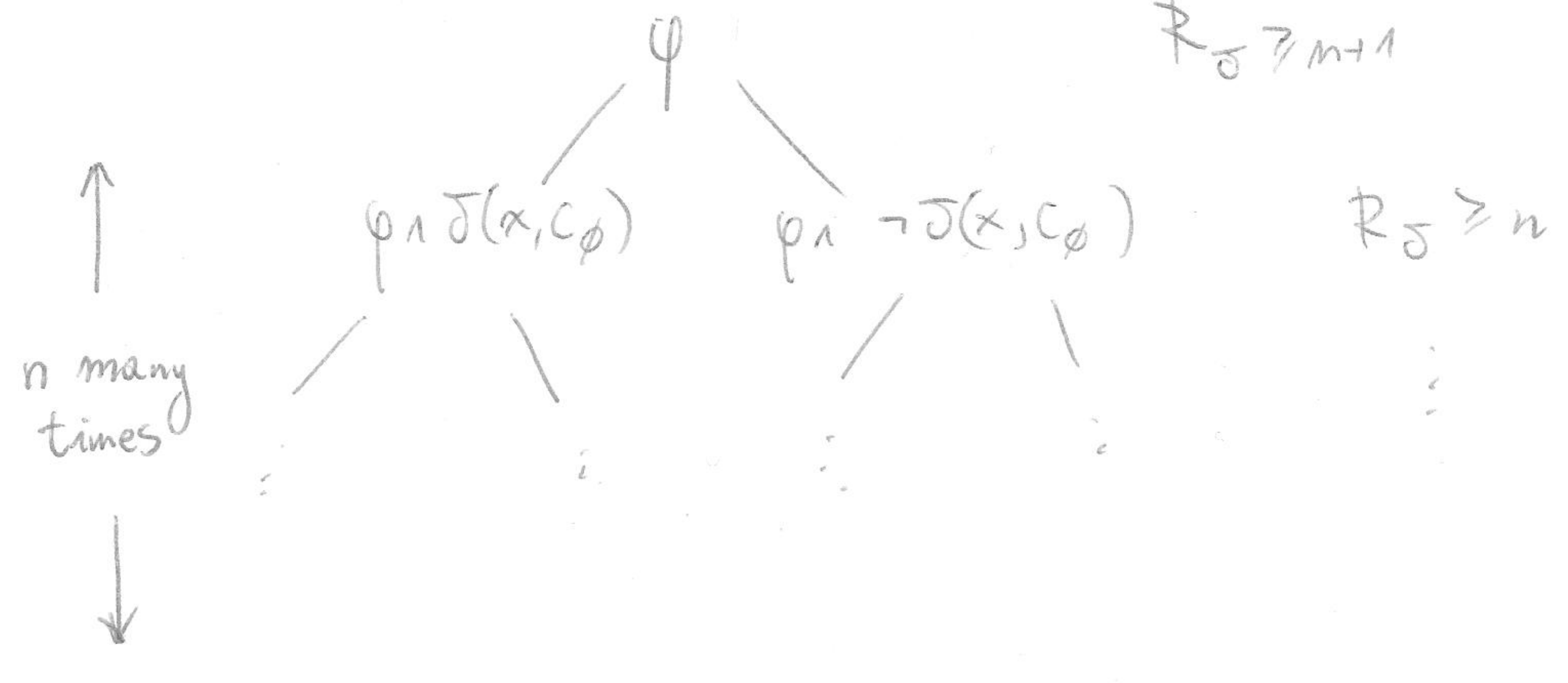
PP (*)



$\varphi(x) \cup \{ \delta(x, c_\phi), \neg \delta(x, c_1), \neg \delta(x, c_{11}), \dots \}$
 consistent

good

Enough to show $\forall m \exists c_\eta, \eta \in 2^{<n} \forall \eta \in 2^m p_\eta(x)$ consistent. But this follows from $R_\delta(\varphi) \geq m+1$



(*) □

Proof of \Rightarrow in (1): (A.c.) suppose $R_\delta(\varphi) < \infty$. For $\eta \in 2^{<\omega}$ $R_\delta(p_\eta(x)) < \infty$.
 Let $\eta_0 \in 2^{<\omega}$ s.t. $\alpha := R_\delta(p_{\eta_0})$ is minimal.



So $\forall n \exists \varphi_1, \dots, \varphi_n \wedge_i R_\delta(p_{\eta_0} \wedge \varphi_i) \geq \alpha$
 δ -formulas pairwise \perp

PF (2) ~~stable~~ $\Leftrightarrow R_\delta(\Rightarrow)$ (A.c.) $R_\delta(x \neq x) \geq \omega \stackrel{(1)}{\Rightarrow} R_\delta(x=x) = \infty$
 the proof of (1) get a tree of instances of $\delta \Rightarrow |S_\delta(\{c_\eta : \eta \in 2^{<\omega}\})| = 2^{\aleph_0}$
 $\Rightarrow \delta$ unstable

(\Leftarrow) $R_\delta(x=x) < \omega$, A : a set, $|A| \leq \kappa$, shall prove $|S_\delta(A)| \leq \kappa$

(a) $p \in S_\delta(A) \rightsquigarrow \exists \varphi \in \mathcal{P} R_\delta(p) = R_\delta(\varphi) \leq \kappa < \omega$ (for some)

There are $\leq \kappa$ -many such $\varphi \in \mathcal{L}(A)$

(b) For $\varphi \in \mathcal{L}_\delta(A)$ $| \{ p \in S_\delta(A) : \varphi \in \mathcal{P} \text{ and } R_\delta(p) = R_\delta(\varphi) \} | < \aleph_0$

□

Corollary $R_\delta(p) \geq k+1 \Leftrightarrow \forall m \exists \varphi_1, \dots, \varphi_m \text{ } \delta\text{-formulas pairwise } \perp$
 $R_\delta(p) \geq k+1 \Leftrightarrow \forall m \exists \varphi_1, \dots, \varphi_m \text{ } R_\delta(p \cup \{\varphi_i\}) \geq k$
any type

BINARY RANKS: $R_{\delta,2}$

$$R_{\delta,2}(\varphi) \geq \alpha+1 \Leftrightarrow \exists c [R_{\delta,2}(\varphi \wedge \delta(x,c)) \geq \alpha \text{ and } R_{\delta,2}(\varphi \wedge \neg \delta(x,c)) \geq \alpha]$$

Lemma (1) $R_{\delta,2}(\varphi(x,\bar{a})) \geq k \Leftrightarrow \models \Theta_{\varphi,k}(\bar{a})$ for a formula $\Theta_{\varphi,k}(\bar{y})$

(2) $R_{\delta,2}(\varphi(x,\bar{a})) = k \Leftrightarrow \models \Theta_{\varphi,k}(\bar{a}) \wedge \neg \Theta_{\varphi,k+1}(\bar{a})$

(3) $R_{\delta,2}(\varphi) \geq \omega \Leftrightarrow R_{\delta,2}(\varphi) = \infty$

(4) $R_{\delta,2}(x=x) < \infty \Leftrightarrow \delta \text{ is stable}$

Comments (a) Usually $R_{\delta,2}(\varphi \vee \psi) = \max\{R_{\delta,2}(\varphi), R_{\delta,2}(\psi)\}$.

(b) So usually it is not true that $\forall p \forall A \exists q \in S(A) R_{\delta,2}(p) = R_{\delta,2}(q)$

Definability again

Lemma (Shelah) Let $\delta(x,y)$: stable, $p(x) \in S_\delta(A)$. Then

$$\exists \chi \in L(A) \forall \bar{a} \subseteq A \models \chi(\bar{a}) \Leftrightarrow \delta(x,\bar{a}) \in p(x)$$

a δ -definition

Proof $R_{\delta,2}(p) = k < \omega$. Choose $\psi \in p$ with $R_{\delta,2}(\psi) = k$. Then for $\bar{a} \subseteq A$

$$\delta(x,\bar{a}) \in p \Leftrightarrow R_{\delta,2}(\psi \wedge \delta(x,\bar{a})) \geq k \Leftrightarrow \underbrace{\Theta_{\psi \wedge \delta,k}(\bar{a})}_{\chi(\bar{a})}$$

Corollary $[\delta: \text{stable}, a \in \mathcal{M}_{A^2}] \delta(a,A) = \{b \in A : \models \delta(a,b)\} = \{b \in A : \models \chi(b)\}$
 for some $\chi(y) \in L(A)$

Proof $p = \text{tp}_\delta(a/A) \rightsquigarrow \chi$ from the lemma

